

LABUAN FINANCIAL SERVICES AUTHORITY  
(LEMBAGA PERKHIDMATAN KEWANGAN LABUAN)

---

Reference No.: 199/2017/LIIA  
Date: 31 March 2017

Mr. Raymond Wong Shu Yoon  
Chairman  
Labuan International Insurance Association  
Brumby Centre, Lot 42  
Jalan Muhibbah  
87000 Labuan F.T.

Dear Mr. Wong,

**INTRODUCTION OF NEW CATEGORIES OF SMS FORMS AND LATEST STATISTICAL REPORTING GUIDES**

Reference is made to the above matter.

2. As part of continues efforts to improve the efficiency of statistical returns' submission, Labuan FSA is pleased to inform that (re)takaful window operators' statistical returns had been incorporated into the Statistical Management System (SMS). With this new categories in the SMS forms, it will replace the current manual submission with effect from 1<sup>st</sup> Quarter 2017 onwards. The first submission via SMS will due on 15 April 2017.

3. In addition, Labuan FSA had also uploaded the latest Reporting Guides for Labuan Insurance and Insurance Related Entities (REs) together with the new Frequently Asked Questions (FAQ) in our website. Please be informed that Labuan FSA will no longer release the quarterly pre-submission reminder to REs in regard to the submission of statistical returns.

4. The details of reporting schedule for (re)takaful window operators (Appendix A) and latest reporting guides (Appendix B) are attached for ease reference. These information can be downloaded from Labuan IBFC's website from the link below:-

*<https://www.labuanibfc.com/areas-of-business/98-2343/operational-requirements/statistical-management-system.html>*

5. Please do not hesitate to contact the following personnel if you need further clarification or immediate assistance pertaining to the above.

<b>Matters</b>	<b>Contact Persons</b>	<b>Direct Lines (email)</b>
Operational	Jefri Bin Md Jamri	087-591368 (jefri@labuanfsa.gov.my)
	Yap Ye Li	087-591248 (yapyl@labuanfsa.gov.my)
IT Technical	Ahmad Yasir Rosdy	087-591353 (yasir@labuanfsa.gov.my)
	Clarence Peter	087-591390 (clarence@labuanfsa.gov.my)

Please disseminate the above information to your members accordingly.

Thank you.

For and on behalf of Labuan FSA,



**YON ZAHIMAH IBRAHIM**  
Director  
Corporate Affairs Department

AIM/JJ



FORMS SUBMISSION SCHEDULE: (RE)TAKAFUL WINDOW OPERATORS

Form Category	Items	Form Template Code	Form Description	Submission Calendar	Calendar Year Submission			RE FY Submission (Audited)			
					Reporting Period <sup>2</sup>	Frequency	Due Date	Reporting Period	Frequency	Due Date	
Statistical Management System (SMS)	Islamic Window General (IWG)	1	G1	Schedule 1 - Investment Income	Calendar Year	As at	Quarterly	15 days after closing of reporting period	RE Financial Year	Yearly	Within 6 months after closing of RE financial year end
		2	G2	Schedule 2 - Other Income			Quarterly				
		3	G3	Schedule 3 - Management Expenses			Quarterly				
		4	G4	Schedule 4 - Other Outgo			Quarterly				
		5	G5	Schedule 5 - Other Liabilities			Half Yearly				
		6	G6	Schedule 6 - Other Assets			Half Yearly				
		7	G7	Schedule 7 - Ageing Schedule			Quarterly				
		8	GI8a	Statement of Premium - Malaysian			Quarterly				
		9	GI8b	Statement of Premium - Others			Quarterly				
		10	GI9a	Statement of Claims - Malaysian			Quarterly				
		11	GI9b	Statement of Claims - Others			Quarterly				
		12	GI10a	Statement of Commission - Malaysian			Quarterly				
		13	GI10b	Statement of Commission - Others			Quarterly				
		14	GIC	General Insurance Country			Quarterly				
		15	GIR	Revenue Account			Quarterly				
		16	GI8S	Balance Sheet			Half Yearly				
		17	PL	Profit and Loss Account			Half Yearly				
	Islamic Window Family (IWF)	1	L1	Schedule 1 - Investment Income	Calendar Year	As at	Quarterly	15 days after closing of reporting period	RE Financial Year	Yearly	Within 6 months after closing of RE financial year end
		2	L2	Schedule 2 - Other Income			Quarterly				
		3	L3	Schedule 3 - Management Expenses			Quarterly				
		4	L4	Schedule 4 - Other Outgo			Quarterly				
		5	L5	Schedule 5 - Other Liabilities			Half Yearly				
		6	L6	Schedule 6 - Other Assets			Half Yearly				
		7	LI7a	Schedule 7a - Premium Income - Malaysian			Quarterly				
		8	LI7b	Schedule 7b - Premium Income - Others			Quarterly				
		9	LI7c	Schedule 7c - Premium/Net Creation of Units for Investment-Linked Business			Quarterly				
		10	LI8a	Schedule 8a - Net Policy Benefits - Malaysian			Quarterly				
		11	LI8b	Schedule 8b - Net Policy Benefits - Others			Quarterly				
		12	LI9a	Schedule 9a - Net Commission - Malaysian			Quarterly				
		13	LI9b	Schedule 9b - Net Commission - Others			Quarterly				
		14	LI10a	Schedule 10a - Statement of New Policies - Malaysian			Quarterly				
		15	LI10b	Schedule 10b - Statement of New Policies - Others			Quarterly				
		16	LI11a	Schedule 11a - Statement of Terminations, Transfers and Revivals - Malaysian			Quarterly				
		17	LI11b	Schedule 11b - Statement of Terminations, Transfers and Revivals - Others			Quarterly				
		18	LI12a	Schedule 12a - Statement of Policies In Force - Malaysian			Quarterly				
		19	LI12b	Schedule 12b - Statement of Policies In Force - Others			Quarterly				
		20	LIR	Revenue Account			Quarterly				
		21	LIBS	Balance Sheet			Half Yearly				
		22	PL	Profit and Loss Account			Half Yearly				
	Islamic Window Broker (IWB)	1	BS1	Schedule 1 - Revenue	Calendar Year	As at	Half-Yearly	15 days after closing of reporting period	RE Financial Year	Yearly	Within 6 months after closing of RE financial year end
		2	BS2	Schedule 2 - Expenses			Half-Yearly				
		3	BS3	Schedule 3 - Ageing Schedule			Quarterly				
4		BS4a	Schedule 4a - Statistic of Insurance Broking Business	Quarterly							
5		BS4b	Schedule 4b - Statistic of Insurance Broking Business	Quarterly							
6		BBS	Balance Sheet	Half-Yearly							
7		BPL	Profit and Loss Account	Half-Yearly							



**REPORTING GUIDE ON STATISTICAL DATA SUBMISSION  
FOR LABUAN INSURANCE AND INSURANCE-RELATED  
ENTITIES**

First Issuance: 23 January 2013  
Updated October 2014  
Updated 31 March 2017

**TABLE OF CONTENTS**

**PART A: OVERVIEW**

1.0 INTRODUCTION..... 3  
 2.0 COVERAGE OF THE GUIDE ..... 3  
 3.0 LEGAL PROVISION ..... 3  
 4.0 EFFECTIVE DATE ..... 3  
 5.0 ABBREVIATIONS ..... 4

**PART B: RESPONSIBILITIES OF A REPORTING ENTITY**

6.0 GENERAL ..... 4  
 7.0 APPOINTMENT OF STATISTICAL REPORTING OFFICER ..... 4  
 8.0 SUBMISSION REQUIREMENT ..... 5  
 9.0 SUBMISSION FORM AND SCHEDULE ..... 5

**PART C: SMS SUBMISSION**

10.0 USER ID..... 5  
 11.0 INSTALLATION / RE-INSTALLATION..... 6  
 12.0 BUSINESS CONTINUITY PLAN ..... 7  
 13.0 PREPARATION OF REPORTS..... 7  
 14.0 REPORTING AND VERIFICATION OF DATA..... 7

**PART D: MANUAL SUBMISSION**

15.0 GENERAL ..... 9  
     15.1 REPORTING TEMPLATE ..... 9  
     15.2 SUBMISSION PROCEDURE ..... 9  
 16.0 EAL REPORTING ..... 10  
 17.0 AUDITED STATISTICAL RETURNS AND VERIFICATION LETTER ..... 10

**PART E: OTHERS**

18.0 ACCURACY AND COMPLETION OF DATA ..... 11  
 19.0 EXTENSION/ RESUBMISSION PROCEDURE ..... 11  
     19.1 GENERAL ..... 11  
     19.2 EXTENSION PROCEDURE ..... 11  
     19.3 RESUBMISSION PROCEDURE ..... 12  
 20.0 LATE SUBMISSION ..... 12  
 21.0 NON-COMPLIANCE..... 12  
 22.0 ENQUIRIES AND CORRESPONDENCES..... 13

APPENDIX I CROSS CHECK ITEMS FOR SMS REPORTING

APPENDIX II CROSS CHECK ITEMS FOR EAL REPORTING

APPENDIX III APPLICATION FORM FOR RESUBMISSION/EXTENSION OF TIME

## **PART A: OVERVIEW**

### **1.0 INTRODUCTION**

- 1.1 The purpose of the Reporting Guide on Statistical Data Submission for Labuan Insurance and Insurance-Related Entities (Guide) is to clarify the reporting and submission procedure of the statistical returns on the operation of Labuan Insurance and Insurance-Related Entities following the introduction of the Statistical Management System (SMS) and manual submission.

### **2.0 COVERAGE OF THE GUIDE**

- 2.1 The Guide shall only be applicable for data submitted to Industry Reporting Unit (IRU) of Labuan FSA by Labuan Insurance and Insurance-Related Entities through the SMS and manual submission via Microsoft Excel file.
- 2.2 In addition to the Guide, the reporting entity (RE) shall also refer to the SMS User Guide version 1.6 that provides guidance on the system functionality.
- 2.3 The Guide shall be read together with any other future policy issued by Labuan FSA.

### **3.0 LEGAL PROVISION**

- 3.1 The submission of statistical information to Labuan FSA is pursuant to section 111 of the LFSSA 2010, section 87 of the LIFSSA 2010, and section 28B of the LFSAA 1996.

### **4.0 EFFECTIVE DATE**

- 4.1 The Guide shall take effect from 01 April 2017 and supersede the Statistical Reporting Guide for Labuan Insurance and Insurance-Related Entities issued in 2014.

## 5.0 ABBREVIATIONS

### 5.1 List of Abbreviation

Guide	Reporting Guide on Statistical Data Submission for Labuan Insurance and Insurance-Related Entities
IRU	Industry Reporting Unit
Labuan FSA	Labuan Financial Services Authority
LFSSA 1996	Labuan Financial Services Authority Act 1996
LFSSA 2010	Labuan Financial Services and Securities Act 2010
LIFSSA 2010	Labuan Islamic Financial Services and Securities Act 2010
RE	Reporting Entity
SMS	Statistical Management System
SRO	Statistical Reporting Officer
PO	Principal Officer
IM	Insurance Manager
EAL	External Financial Assets and Liabilities
FYE	Financial Year End

## PART B: RESPONSIBILITIES OF A REPORTING ENTITY

### 6.0 GENERAL

6.1 RE shall responsible for the proper installation of the SMS and preparation of relevant reports for submission to Labuan FSA. For that purposes, each RE is required to comply with the requirements as specified in the Guide.

### 7.0 APPOINTMENT OF STATISTICAL REPORTING OFFICER

7.1 RE is advisable to appoint a dedicated SRO and provide the details such as name, designation, email address, and telephone number to Labuan FSA for ease communication.

7.2 The SRO shall be responsible for the following:-

- i. Act as liaison officer to Labuan FSA on statistical submission matters and data enquiry, and
- ii. Ensure the reporting system of the RE is properly backup and maintained.

## **8.0 SUBMISSION REQUIREMENT**

- 8.1 The RE shall commence the first reporting according to regulatory reporting schedule upon licence granted by Labuan FSA.
- 8.2 In the case of company in run-off or in the process of surrendering licence, RE shall continue with the submission of the reports until the process is completed, unless instructed by Labuan FSA.
- 8.3 RE will no longer required to submit statistical returns after the date of surrender.
- 8.4 In the case where the date of surrendered fall on submission period, i.e. within 15 days after closing of reporting period, RE shall ensure the submission completed before surrender of licence take effect.

## **9.0 SUBMISSION FORM AND SCHEDULE**

- 9.1 List of forms together with the submission frequency and deadline are available in Labuan FSA's website <sup>1</sup> <sup>2</sup>.

## **PART C: SMS SUBMISSION**

### **10.0 USER ID**

- 10.1 Labuan FSA will provide one (1) SMS User ID only and temporary password via email to RE's PO within 10 days after the notification on licence granted.

---

<sup>1</sup> SMS Submission: <https://www.labuanibfc.com/areas-of-business/98-2343/operational-requirements/statistical-management-system.html>

<sup>2</sup> Manual Submission: <https://www.labuanibfc.com/areas-of-business/98-2341/operational-requirements/manual-submission.html>



- 10.2 IM shall notify Labuan FSA on arrangement of reporting and submission of the statistical reports should they are appointed to submit the returns on behalf of their client.
- 10.3 Labuan FSA shall provide the user ID to the IM and notification will be sent to the PO should the submission of the returns to be filed-up by the IM.
- 10.4 PO or the IM shall ensure the safe keeping of the password and to change the password every three (3) months.

## **11.0 INSTALLATION / RE-INSTALLATION**

- 11.1 The SMS installation file and patch can be downloaded from Labuan FSA's website<sup>3</sup>. RE shall refer to the SMS Installation Guide version 1.2 and SMS User Guide version 1.6. RE shall be responsible for the installation and maintenance of the system.
- 11.2 Labuan FSA would provide the assistance via phone and/or email pertaining to any installation or technical issues on the SMS system. RE shall responsible to carry out the installation process discretionary. RE may engage external IT vendor to assist on the matter if necessary.
- 11.3 RE is allowed to install the SMS system onto multiple computers. However, only one legitimate registered connection for submission is given to each user ID.
- 11.4 RE is required to inform Labuan FSA to unlock registered user ID whenever they perform re-installation. This is to release the old ID prior to re-registration process.

---

<sup>3</sup> <https://www.labuanibfc.com/areas-of-business/98-2343/operational-requirements/statistical-management-system.html>

## **12.0 BUSINESS CONTINUITY PLAN**

- 12.1 RE is advised to perform its own data backup for their local SMS drive. This is to facilitate data recovery process whenever needed. RE shall be responsible for data protection and disaster recovery measures.
- 12.2 Step-by-step backup instruction is available in SMS User Guide 1.6.

## **13.0 PREPARATION OF REPORTS**

- 13.1 The explanatory notes are available in the Labuan FSA's website for download<sup>4</sup>.
- 13.2 RE is required to prepare and submit the statistical reports in accordance with instructions contained in this document and the SMS User Guide.
- 13.3 RE is advised to perform hotupdate to download relevant forms 5 days before closing of reporting period to avoid technical errors during submission period. RE shall liaise immediately with IRU if encounter any errors in SMS.
- 13.4 All reports shall be prepared in a consistent, accurate and timely manner to reflect a fair representation of the financial condition.
- 13.5 The figures reported shall be as at the reporting date. For examples for the half-yearly submission of 2016, the figures reported shall be as at 30 June 2016 (6 months). While for year-end reporting, the figures reported shall be as at 31 December 2016 (12 months).

## **14.0 REPORTING AND VERIFICATION OF DATA**

- 14.1 Data shall be reported in actual amount and rounded to the nearest US Dollar, unless otherwise specified by the Labuan FSA.

---

<sup>4</sup> <https://www.labuanibfc.com/areas-of-business/98-2343/operational-requirements/statistical-management-system.html>

- 14.2 Rounding may result in details not adding to their stated totals. The only permissible differences between totals and sums of their components are those attributed to the mechanics of rounding.
- 14.3 Where the amount of a reportable item is zero, the entry made should be remain as the number “0”, and not other symbols or words like “– “or “nil”.
- 14.4 Several forms in the SMS were built with pre-formulated cells thus all data entered will be automatically computed by the system. Nevertheless, RE is allowed to enter negative amount in the returns when necessary and shown with a negative (-) sign.
- 14.5 To ensure data consistency and to prevent any mismatched of reporting, on-form validations are in place for some forms. RE is required to resolve any data inaccuracies indicated by such checks prior to submission of data to Labuan FSA.
- 14.6 RE is required to cross check on the corresponding data item in relevant forms as per Appendix I.
- 14.7 The submission would only be considered as successful if the status has been changed from “Submitted” to “Accepted” upon system refreshed within 24 hours.
- 14.8 Labuan FSA would consider the report has been verified by the PO for completeness and accuracy once the report submitted to Labuan FSA.

## **PART D: MANUAL SUBMISSION**

### **15.0 GENERAL**

#### **15.1 REPORTING TEMPLATE**

- 15.1.1 Other than electronic reporting submission via SMS, RE is also required to submit several reports manually via email as specified in submission schedule.
- 15.1.2 Manual reporting template in Microsoft Excel template shall be provided together with SMS user ID via email to RE's PO within 10 days after the notification on licence granted.
- 15.1.3 Please do not tamper with the reporting template provided, including unnecessarily adding or removing rows, columns or worksheets, changing the sequence of the worksheets, changing formats, formulas and colours of the cells as well as changing the file version.

#### **15.2 SUBMISSION PROCEDURE**

- 15.2.1 RE is required to submit both Microsoft Excel template and PDF-signed copy via email only to the address as specified in Part 22 of the Guide. The submission shall only be considered as complete upon received both copies.
- 15.2.2 The PDF-signed copy must be verified and signed by PO or authorised person for all worksheets or pages that contains data, unless specified by Labuan FSA.
- 15.2.3 Both excel template and PDF-signed copy shall be renamed as "INDUSTRY\_RE NAME\_QXYY", i.e. INSURANCE\_ABC INSURANCE COMPANY\_Q117, for standardisation purpose.
- 15.2.4 Subject of email shall be named as "Submission of REPORT TYPE\_RE NAME\_QXYY", i.e. Submission of EAL\_ABC INSURANCE COMPANY\_ Q117, for standardisation purpose.

15.2.5 The report should be submitted in separate email for each RE if the submission is performed by the IM.

15.2.6 Labuan FSA would consider the report has been verified by the PO for completeness and accuracy once the report submitted to Labuan FSA.

## **16.0 EAL REPORTING**

16.1 The relevant circulars, reporting guides, and explanatory notes are available in the Labuan FSA's website for download<sup>5</sup>.

16.2 RE is required to cross check and ensure the corresponding data items as highlighted in Appendix II.

16.3 The submission is still applicable although the RE has no external financial assets or liabilities i.e. nil reporting. Please adhere to Part 15.2 of the Guide for submission procedure and indicate nil reporting in the email.

## **17.0 AUDITED STATISTICAL RETURNS AND VERIFICATION LETTER**

17.1 All audited statistical returns (RE FY) shall be submitted through the SMS. Hence, REs are no longer required to submit the signed hard copies of the said returns to Labuan FSA.

17.2 Nevertheless, REs are required to submit verification letter from the auditor indicating the forms have been reviewed to satisfy that all data in SMS are the same or correspond to the audited financial statement.

17.3 The verification letter in PDF format shall be email to the address as specified in Part 22 of the Guide.

17.4 The audited returns and verification letter shall be submitted within six (6) months after the REs' financial year end.

---

<sup>5</sup> <https://www.labuanibfc.com/areas-of-business/98-2341/operational-requirements/manual-submission.html>

## **PART E: OTHERS**

### **18.0 ACCURACY AND COMPLETION OF DATA**

- 18.1 RE is required to ensure the accuracy and completeness of data submitted to Labuan FSA.
- 18.2 RE shall promptly inform Labuan FSA to resubmit amended reports should the previous submitted reports contains inaccurate data or error(s) as discovered by REs itself, or determined by Labuan FSA.
- 18.3 Any amendment of reports can be done via resubmission. Please refer to Part 19.3 of the Guide for resubmission procedure.

### **19.0 EXTENSION/ RESUBMISSION PROCEDURE**

#### **19.1 GENERAL**

- 19.1.1 For each application submitted, a processing fee of RM300 will be charged as is specified in Item 14 of the First Schedule and Item 28 of the Second Schedule to the Labuan Financial Services Authority (Processing and Approval Fees) (Labuan Financial Institutions) Order 2012.
- 19.1.2 RE is required fill up the application form as per Appendix III and submit via email to Labuan FSA.
- 19.1.3 Processing fee shall not refundable upon application submitted.
- 19.1.4 Application status will be updated via email to applicant upon processed by Labuan FSA.

#### **19.2 EXTENSION PROCEDURE**

- 19.2.1 Application form for extension of time should be submitted at least three (3) working days before the submission due date.

19.2.2 Extension of time requested for each report type, i.e. SMS, EAL or other manual reports, shall consider as a separate application. Processing fee will be charged as per application.

19.2.3 RE is allowed to apply extension of time for more than a report type in one application form.

19.2.4 Extension application will be subject to the discretion of Labuan FSA based on justification provided for the request and previous submission record.

### **19.3 RESUBMISSION PROCEDURE**

19.3.1 Resubmission application will be subject to the discretion of Labuan FSA based on justification provided for the request.

19.3.2 Upon approval, Labuan FSA will mark 'Resubmit' on the requested forms. RE is required to perform hotupdate to re-download the forms.

19.3.3 REs shall submit the amended forms within three (3) working days from the marked of resubmission date.

### **20.0 LATE SUBMISSION**

20.1 Submission after the stipulated due date shall be considered as late submission.

### **21.0 NON-COMPLIANCE**

21.1 Non-compliance RE's shall be subject to any legal action under LFSSA 2010, LIFSSA 2010, or LFSAA 1996 as the case may be.

21.2 Any person who fails to comply with the requirement is guilty of an offence and may be subject to an administrative penalty pursuant to Section 36G of the LFSAA 1996 which may impose an amount not exceeding five hundred ringgit (RM500) for each day of non-compliance and such amount shall not in total exceed the sum of ten thousand ringgit.

21.3 Any person who submit false, inaccurate or misleading information is guilty of an offence punishable under Section 192 of the LFSSA 2010 which upon on conviction may be liable to a fine not exceeding one million ringgit or to imprisonment for a term not exceeding three (3) years or to both. In any event, such offence may be subject to an administrative penalty pursuant to section 36G of the LFSAA 1996.

## **22.0 ENQUIRIES AND CORRESPONDENCES**

22.1 All enquiries, clarifications and correspondence relating to the Guide from Statistical Reporting Officer of the RE shall be directed to the unit.

22.2 Enquiries and correspondences relating to the Guide shall be addressed to:-

Industry Reporting Unit  
Labuan Financial Services Authority  
Level 17, Main Office Tower  
Financial Park Complex  
Jalan Merdeka  
87000 Labuan F.T.  
Email: iru@labuanfsa.gov.my

Labuan Financial Services Authority

23 January 2013

October 2014

31 March 2017 (Updated)



## APPENDIX I: CROSS CHECK ITEMS FOR SMS REPORTING

REs are advised to provide a reasonable justification (by inserting form notes) for any significant variance which exceeded pre-determined thresholds prior submitting the report. The thresholds as follows:-

Variance Threshold	Form Code	Form Field	
		Vertical Title	Horizontal Title
<b>Total Gross Premiums equal to or more than 150% increase or decrease compared to previous quarter</b>	GI8a	Gross premiums	Total
	GI8b	Gross premiums	Total
	GR8a	Gross reinsurance accepted premiums	Total
	GR8b	Gross reinsurance accepted premiums	Total
<b>Total Assets equal to or more than 50% increase or decrease compared to previous quarter</b>	BBS	Assets : Total	USD : Total
	MBS	Assets : Total	USD : Total
	GIBS	Assets : Total	USD : Total
	GRBS	Assets : Total	USD : Total
	LIBS	Assets : Total	USD : Total
	LRBS	Assets : Total	USD : Total
<b>Total Direct Insurance and Total Reinsurance cessions equal to or more than 150% increase or decrease compared to previous quarter</b>	BS4a	A. Direct Insurance	Total > Premium Processed
		B. Reinsurance cessions	Total > Premium Processed

## APPENDIX II: CROSS CHECK ITEMS FOR EAL REPORTING

No.	Worksheet	Data Item	Cross Check
1.	Introduction	RE Licence Number, Reporting Quarter, Reporting Year, Malaysian Owned, Contact Person, PO Contact Person.	To ensure correct and updated information reported.
2.	All Forms	Transaction (Debit/ Credit)	To ensure all transaction movement are reported in gross basis.  Adjustment of erroneous data or reclassification shall not reported in the item.  Any transaction inflow/outflow (Credit/Debit) exceeding USD30.0mil shall submit EAL Threshold Explanation Template to justify the large exposure during the reporting quarter.
3.	All Forms except for Investment Income	Other Changes in the Volume of Assets	To ensure erroneous data which been identified in previous submission or reclassification proper adjusted in the item.  To provide explanation via submission email on the adjustment or reclassification has been made.
4.	All Forms except for Investment Income	All data items	To ensure all items are reported in nearest thousand (In '000).
5.	Investment Income	All data items	To ensure all items are reported in period basis with actual amount without rounded to nearest thousand.
6.	Assets-Dia, Assets-Dib, Liabilities-Dia, Liabilities-Dib.	Retained Earnings	To ensure the item reported in line with the definition as provided in data explanatory notes.
7.	All Forms	Built-in verification function (ADD-INS > LFSA EAL Report > Save and Verify)	To ensure all forms which contains data has been successfully passed the verification function as provided in reporting template.

# APPENDIX III: APPLICATION FORM FOR RESUBMISSION/ EXTENSION OF TIME



## APPLICATION FORM FOR RESUBMISSION/EXTENSION OF TIME

### 1. APPLICANT DETAILS

1.1 Application Date :

1.2 Applicant Name :

1.3 Management Co. :

1.3 Designation :

1.4 Telephone No :

1.5 E-mail :

### APPLICATION GUIDE

**STEP 1**

Fill in the form and save in excel format.

**STEP 2**

Email the completed excel file and payment advice (pdf) to Labuan FSA's person in-charge and carbon copy the principal or trust officer.

### 2. APPLICATION DETAILS

2.1 Reporting Entity(RE) :

2.2 Licence Type :

2.4 Application For :

2.5 Report Type :

2.6 Reason :

2.7 Form Code :

2.8 Data Item :

2.9 Submission Period :

2.10 Requested Ext. date :

### INFORMATION

Note: Please refer to Labuan FSA's fee structure as defined in circular 138/2012/ALL dated 21 Dec 2012

Payment of processing fee can be made via cheque (bank in to Labuan FSA)/ fund transfer

Acct No : 8001785831- CIMB Bank  
Payee:  
Labuan Financial Services Authority  
TT swift code : CIBBMYKL

*Terms & Conditions:*

- Official receipt will be issued by Labuan FSA's upon fund received.
- Approval of the application is subject to discretion of Labuan FSA.
- Processing fee shall not refundable upon application submitted.
- Only completed form will be processed.
- RE only allowed to amend approved data item stated in the application form.
- Processing Fee : RM300 per report type. i.e SMS & EAL will impose

### 3. PAYMENT DETAILS

3.1 Payment Type :

3.2 Cheque No :

3.3 Payment Date :

3.4 Amount (MYR) :

### CONTACT PERSONS

*Phone No and Email:*

+6087 591307(Irence Lim)  
irence@labuanf sa.gov .my

+6087 591368(Jef ri Jamri)  
jef ri@labuanf sa.gov .my

+6087 591248(Yap Ye Li)  
yapy l@labuanf sa.gov .my

Fax: +6087 453442 (General)

### 4. FOR OFFICE USE

*CONFIRMATION OF APPLICATION STATUS VIA EMAIL ONLY*

4.1 Processed By :

4.2 Date of Process :

4.3 Status :

4.4 New Extension Date :

4.5 Remarks :

### DATA PROTECTION

I hereby give my consent to Labuan Financial Services Authority to process and but not limited to collect, use, hold, store or disclose my personal data herein contained in this form for the purpose and to the extent as permitted by the relevant laws.